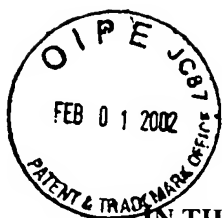


1754



COPY OF PAPERS
ORIGINALY FILED
Atty. Dkt.: M00B130

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: SEELEY
S/N: 10/002,668
Filed: October 31, 2001
Entitled: Removal of Noxious Substances from Gas Streams

Group: 1754
Examiner: to be assigned

Assistant Commissioner for Patents
Washington, DC 20231

RECEIVED
FEB 06 2002
TC 1700

TRANSMITTAL OF CERTIFIED COPY

Attached please find the certified copy of the foreign application from which priority is claimed for the subject patent application.

Country: United Kingdom
Application No.: 0026697.3
Filing Date: November 1, 2000

Respectfully submitted,

Date: Jan 7, 2002

The BOC Group, Inc.
Intellectual Property Dept.
100 Mountain Ave.
Murray Hill, NJ 07974
(908) 771-6469

RECEIVED
APR 03 2002
TC 1700

Ira Lee Zebrak
Ira Lee Zebrak, Esq.
Attorney for Applicant(s)
Reg. No. 31,147

CERTIFICATE OF MAILING (37 CFR 1.8)

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington DC 20231.

Signature of Person Mailing Paper: *Betty Lee* Date: 1/5/02
Print Name of Person Mailing Paper: Betty Lee

THIS PAGE BLANK (USPTO)

THIS PAGE BLANK (USPTO)

RECEIVED
JAN 13 1994
FBI
FEDERAL BUREAU OF INVESTIGATION
U.S. DEPARTMENT OF JUSTICE



INVESTOR IN PEOPLE

The Patent Office
Concept House
Cardiff Road
Newport
South Wales
NP10 8QQ

RECEIVED
FEB 06 2002
TC 1700

I, the undersigned, being an officer duly authorised in accordance with Section 74(1) and (4) of the Deregulation & Contracting Out Act 1994, to sign and issue certificates on behalf of the Comptroller-General, hereby certify that annexed hereto is a true copy of the documents as originally filed in connection with the patent application identified therein.

In accordance with the Patents (Companies Re-registration) Rules 1982, if a company named in this certificate and any accompanying documents has re-registered under the Companies Act 1980 with the same name as that with which it was registered immediately before re-registration save for the substitution as, or inclusion as, the last part of the name of the words "public limited company" or their equivalents in Welsh, references to the name of the company in this certificate and any accompanying documents shall be treated as references to the name with which it is so re-registered.

In accordance with the rules, the words "public limited company" may be replaced by p.l.c., plc, P.L.C. or PLC.

Re-registration under the Companies Act does not constitute a new legal entity but merely subjects the company to certain additional company law rules.

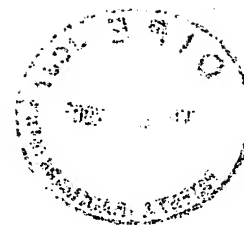
RECEIVED
APR 03 2002
TC 1700

Signed

Andrew Gersey

Dated

25 October 2001



THIS PAGE BLANK (USPTO)



1/77

Request for grant of a patent

(See the notes on the back of this form. You can also get an explanatory leaflet from the Patent Office to help you fill in this form)



The Patent Office

Cardiff Road
Newport
South Wales
NP10 8QQ

1. Your reference **M00B130/RJB** **02NOV00 E580430-1 D02805**
P01/7700 0.00-0026697.3

2. Patent application number **1 NOV 2000**
(The Patent Office will fill in this)

3. Full name of or of each **0026697.3**
(line all surnames)

The BOC Group plc, Chertsey Road, Windlesham, Surrey, GU20 6HJ

Patents ADP number (if you know it)

884627002 ✓

If the applicant is a corporate body, give the country/state of its incorporation **England**

4. Title of the invention **Removal of Noxious Substances from Gas Streams**

5. Name of your agent (if you have one) **Roger James Bousfield**

"Address for service" in the United Kingdom to which all correspondence should be sent
(including the postcode)

The BOC Group plc, Chertsey Road, Windlesham, Surrey, GU20 6HJ

Patents ADP number (if you know it)

973131002 ✓

6. If you are declaring priority from one or more earlier patent applications, give the country and the date of filing of the or of each of these earlier applications and (if you know it) the or each application number	Country	Priority application number (if you know it)	Date of filing (day / month / year)

7. If this application is divided or otherwise derived from an earlier UK application, give the number and the filing date of the earlier application	Number of earlier application	Date of filing (day / month / year)

8. Is a statement of inventorship and of right to grant of a patent required in support of this request? (Answer 'Yes' if:

- a) any applicant named in part 3 is not an inventor, or
 - b) there is an inventor who is not named as an applicant, or
 - c) any named applicant is a corporate body.
- See note (d))

Yes

Patents Form 1/77

9. Enter the number of sheets for any of the following items you are filing with this form. Do not count copies of the same document

Continuation sheets of this form	0
Description	9
Claim(s)	1
Abstract	0
Drawing(s)	1 <i>1/1</i>

10. If you are also filing any of the following, state how many against each item.

Priority documents	0
Translations of priority documents	0
Statement of inventorship and right to grant of a patent (<i>Patents Form 7/77</i>)	0
Request for preliminary examination and search (<i>Patents Form 9/77</i>)	1
Request for substantive examination (<i>Patents Form 10/77</i>)	0
Any other documents (<i>please specify</i>)	0

11. I/We request the grant of a patent on the basis of this application.

Signature

R Bousfield

Date

1 November 2000

12. Name and daytime telephone number of person to contact in the United Kingdom

Roger Bousfield
(01276) 477612

Warning

After an application for a patent has been filed, the Comptroller of the Patent Office will consider whether publication or communication of the invention should be prohibited or restricted under Section 22 of the Patents Act 1977. You will be informed if it is necessary to prohibit or restrict your invention in this way. Furthermore, if you live in the United Kingdom, Section 23 of the Patents Act 1977 stops you from applying for a patent abroad without first getting written permission from the Patent Office unless an application has been filed at least 6 weeks beforehand in the United Kingdom for a patent for the same invention and either no direction prohibiting publication or communication has been given, or any such direction has been revoked.

Notes

- If you need help to fill in this form or you have any questions, please contact the Patent Office on 08459 500505.*
- Write your answers in capital letters using black ink or you may type them.*
- If there is not enough space for all the relevant details on any part of this form, please continue on a separate sheet of paper and write "see continuation sheet" in the relevant part(s). Any continuation sheet should be attached to this form.*
- If you have answered 'Yes' Patents Form 7/77 will need to be filed.*
- Once you have filled in the form you must remember to sign and date it.*
- For details of the fee and ways to pay please contact the Patent Office.*

REMOVAL OF NOXIOUS SUBSTANCES FROM GAS STREAMS

This invention relates to the removal of noxious substances from gas streams, in particular the removal of very stable fluorocarbons from gas streams emanating from semi-conductor processing chambers by means of combustion.

Many substances used in semi-conductor device manufacturing, and which are extracted from a chamber in which such manufacturing takes place, are toxic and/or environmentally harmful and must therefore be scrubbed from the exhaust gas stream before its release in to the atmosphere.

A number of different types of wet or dry chemical scrubbing reactors have been proposed and numerous are commercially employed in the semi-conductor industry.

For example, in our Patent Specification No. WO 89/11905 there is disclosed a dry chemical reactor sold by our BOC Edwards Division comprising a heated packed tube of granular substances through which the exhaust stream is directed including in particular a first stage of silicon (with an optional addition of copper when the exhaust stream contains nitrogen trifluoride in particular) and a second stage of calcium oxide commonly in the form of lime. Such a reactor has met with considerable commercial success for the scrubbing of such toxic substances.

It is also known from European Patent Specification No 694 735 in the name of Alzeta Corporation, and our prior European Patent Specification No. 0 802 370 that noxious substances of the type in question can be removed from exhaust streams by combustion.

There is described in these prior specifications processes for the combusive destruction of noxious substances which comprises injecting an exhaust gas and added fuel gas in to a combustion zone that is laterally surrounded by the exit surface of a foraminous gas burner, simultaneously supplying fuel gas and air to the burner to effect combustion at the exit surface, the amount of the fuel gas supplied

to the foraminous gas burner being on a BTU basis, greater than that of the added fuel gas, and the amount of the air being in excess of the stoichiometric requirement of all the combustibles entering the combustion zone, and discharging the remitting combustion product stream from the combustion zone.

A central feature of the earlier of these prior combustive process is the critical need to supply the fuel gas admixed with the exhaust gas stream in to the combustion zone of the burner. Such premixing of the fuel gas and exhaust gas stream allows for a much greater and efficient scrubbing of the perfluorocarbon hexafluoroethane (C_2F_6). However, there remain certain problems associated with the scrubbing of the even more stable perfluorocarbon, tetrafluoromethane (CF_4).

A great advantage of the prior combustive scrubbing process described above is that it inherently limits the maximum temperature that can be attained in the combustion chamber and thereby suppress the formation of NO_x gas by-products that may otherwise be formed.

However, in the later of these prior processes, it was found that an addition of oxygen to the exhaust gas stream prior to the introduction of the gas stream in to a foraminous gas burner generally allows for a more efficient combustion of perfluorocarbon gases including tetrafluoromethane (CF_4) in particular.

It is known that stable perfluorocarbons and other stable global warming compounds such as nitrogen trifluoride (NF_3) and sulphur hexafluoride (SF_6) can be destroyed in combustion type abatement systems described in these prior specifications. The underlying principle of the approach in these prior specifications is to form a premixed flame from a mixture of the process gas to be abated (substantially nitrogen but containing a low percentage by volume of the perfluoro compound), oxygen and a hydrocarbon fuel. The fuel and oxygen are added separately and in such a manner as to prevent intermixing except immediately prior to their introduction into the combustion chamber in order to minimise any possibility of flashing back. This is preferentially achieved by premixing either the fuel or the

oxygen with the process exhaust gas and adding the other component via a lance mounted concentrically within the nozzle through which the gases enter the combustion chamber. The combustion chamber itself is formed from the exit surface of a foraminous gas burner. This provides (by means of the surface combustion) the necessary thermal and chemical environment to support the combustion of the perfluoro compounds within the previously mentioned premixed flame.

For the abatement of less stable compounds such as hydrides, acid halides, etc, abatement systems employing in part electrically heated chambers of metallic construction operating at moderate temperatures (500 to 800°C) have commonly been employed. The destruction of perfluoro compounds in an apparatus of this type has been demonstrated by the co-addition of fuel and oxygen to the reaction chamber along with the process exhaust gas. A substantially increased operating temperature (about 1000°C) has proven necessary to provide acceptable destruction rates. However, the drawbacks of this approach include the production of large quantities of nitrogen oxides (NO_x) as well as reduced component lifetime as a result of the prolonged operation at elevated temperatures within a very corrosive environment.

The invention is concerned with the provision of a new combustive process and apparatus which allow for the destruction of perfluoro compounds and other compounds at temperatures substantially below those previously repeated and with negligible production of NO_x .

In accordance with the invention, there is provided a process for the combustive destruction of noxious substances in a gas stream which comprises injecting the gas stream in to a heated chamber with sufficient oxygen to allow substantially complete combustion therein, wherein hydrogen is also present in the chamber as a fuel gas.

Preferably the chamber comprises a heated metal tube, for example copper or stainless steel. Heating of the chamber is preferably effected by electrical means,

i.e. an electrical resistance heater or heaters being placed around the chamber with means being provided to insulate the external surfaces of the chamber.

It is important that both the fuel gas and the oxygen are introduced in to the gas stream prior to the stream being injected in to the chamber.

Preferably the oxygen is introduced in to the gas stream by way of an oxygen lance. Preferably a nozzle or nozzles of such a lance are positioned to introduce the oxygen in to the gas stream substantially immediately prior to the point of injection of the gas stream in to the heated chamber.

With regard to the fuel gas, this can be added to the gas stream at any convenient point prior to the entry of the gas stream in to the heated chamber. However, for reasons of flammability in particular, both the oxygen and the fuel gas should not be present together for any appreciable time prior to their co-injection in to the heated chamber. Overall, it is advantageous for the fuel gas to be injected in to the gas stream upstream of the point of injection of the oxygen.

The mixture is injected in to the heated chamber and contains a fuel gas and oxygen (or air). Advantageously, the mixture should have a 10 to 150% stoichiometric excess of oxygen over the fuel gas, more advantageously from 80 to 150%.

In accordance with the invention, the generation of the gas stream/oxygen/fuel gas mixture within the thermal environment provided by heated reactor can lead to the destruction of perfluoro compounds at temperatures generally substantially below those previously disclosed and with negligible production of NO_x . This is particularly useful in situations where there is no readily available source of gaseous hydrocarbon fuel. The thermal environment should preferably be provided electrically.

Hydrogen, which is not a suitable fuel for a porous ceramic burner has been found to be suitable for use as an inject fuel in the manner described previously and is readily

available. Furthermore, the hydrogen and oxygen inject are only required when the perfluorocarbons gas is present and to be abated. Gas streams not containing perfluorocarbon do not require such an inject.

In preferred embodiments, the apparatus in which the process of the invention is conducted can be adapted so that a gas stream emanating from a processing chamber, for example a semi-conductor chamber can be interfaced with a process tool contained in the chamber to monitor and thereby ascertain when perfluorocarbon gases are present in the gas stream leaving the chamber and, normally via a vacuum pump or pumps evacuating the chamber, being urged forward and injected in to the heated chamber of the apparatus for conducting the invention. This monitoring can lead to a substantially reduced usage of fuel gas and oxygen, and consequential reduction in cost, as the inject of these gases can be turned off when no perfluorocarbon gases are present.

For a better understanding of the invention, reference will now be made, by way of exemplification only, to the accompanying drawing which shows a schematic representation of apparatus for conducting the process of the invention.

With reference to the drawing, there is shown apparatus of overall cylindrical shape and comprising a lower body portion 1 and an upper body portion 2 secured (and sealed) to each other by fixing means 3.

Centrally held within the lower body portion 1 by means of a spacer ring 4 is a tubular wall member 5 of good thermal conductivity. A reaction chamber 6 of cylindrical shape is provided and sized such that it fits closely with the wall member 5 and an upper end 7 thereof extends from within the wall member 5 and into the interior of the upper body portion 2.

An electrical resistance heater 8 is present in the annular space between the lower body portion 1 and the wall member 5 and adapted for the efficient heating of the wall member 5 and hence the reaction chamber 6.

Four inlet nozzles 9 (two of which are shown in the drawing with the other two hidden behind) are securely held (and sealed) in the upper body portion 2, the lower ends 10 of which extend into the confines of the upper end 7 of the reaction chamber 6.

Four process gas inlet tubes 11 are present and are linked to their respective inlet nozzle 9 via one of four intermediate chambers 12 to form four sealed passageways between the respective inlet tubes 11 and the inlet nozzles 9.

Towards the top of each inlet tube 11 is an oxygen inject port 13 extending substantially to the centre line of the tube 11.

A fuel gas injection port 14 is present in each intermediate chamber 12 with a lance 15 extending through the chamber 12 and into the top area of the inlet nozzle 9.

In use of the apparatus, an exhaust gas stream from for example, a semi-conductor processing chamber is urged for example, by means of a vacuum pump system in one of the inlet tubes 11 and is thereafter injected in to the confines of the reaction chamber 6. Oxygen (or air) is introduced into the gas stream as it passes through the tube 11 by means of the inject port 13 and fuel gas is introduced in to the oxygen-containing gas stream as it passes through the inlet nozzle 9 by means of the lance 15.

One or more of the inlet tubes may be connected to gas streams emanating from one or more process chamber as appropriate.

In use the reaction chamber 6 is heated by means of the heater 8 to produce the desired temperature within and across the chamber. The presence of the oxygen and the fuel gas will generally cause a flame 16 to appear at the end 10 of the inlet nozzle 9 as indicated by the dotted lines within the chamber 6.

Supplementary scrubbing means including a wet scrubber may be attached to the exit 17 of the reaction chamber 6.

In process tests conducted in the apparatus in accordance with the invention, one of the four inlet tubes 11 was connected to the exhaust of a vacuum pump from a semiconductor processing chamber. The pump was equipped with facilities to monitor the flow rates of perfluorocarbon compounds and to vary the total nitrogen flow. Injections of hydrogen fuel gas and oxygen were introduced as indicated.

The other three inlet tubes 11 were each connected to a source of nitrogen at 50 sl/min to simulate the exhaust flows from three other vacuum pumps. The reaction chamber was heated to a tube temperature of 750°C.

The results are shown in Table 1.

TABLE I

Test #	N ₂ l/min	H ₂ l/min	O ₂ l/min	PFC	l/min	% Destruction
1	37	10	5	C ₂ F ₆	1.2	82
2	27	10	5	C ₂ F ₆	1.2	92
3	27	10	4	C ₂ F ₆	1.2	92
4	27	10	3	C ₂ F ₆	1.2	88
5	50	10	5	SF ₆	1.3	62
6	40	10	5	SF ₆	1.3	72
7	35	10	5	SF ₆	1.3	77
8	27	10	5	SF ₆	1.3	82

In these results, the NO_x amounts were 3 to 6 ppm maximum.

When using nitrogen trifluoride plasmas in semiconductor processing, especially those generated in remote plasma sources, high levels of fluorine gas can be produced.

Further process tests were conducted in a apparatus shown in the drawing again with the reactor chamber (internal) temperature of 750°C. The quantification of the nitrogen trifluoride was carried out using a "VG" mass spectrometer.

The hydrogen was measured using a rotameter and flow was controlled using a Tylan mass flow controller.

The results are shown in Table II

TABLE II

NF ₃ flow sl/min	Pump Nitrogen ^o sl/min	Additional Nitrogen ⁺ sl/min	Oxygen flow sl/min	Hydrogen Flow sl/min	NF ₂ + Signal	Exhaust Oxygen (%)	Exhaust NO (ppm)	Exhaust NO ₂ (ppm)	% destroyed
0.48	45	50	5	10	1.48E-10				95.6%
0.48	45	50	0	10	1.10E-10				96.7%
0.48	45	50	0	20	1.76E-11				99.5%
0.48	45	50	10	20	2.35E-12	7	1811	103	99.9%
0.48	45	50	5	20	1.53E-12	4.8	890	23	100.0%
0.48	45	50	4	20	1.73E-12	4.4	385	14	99.9%
0.48	45	50	3	20	8.56E-13	4	47	14	100.0%
0.48	45	50	3.5	20	1.36E-12	4.2	127	15	100.0%
0.48	45	50	2.5	20	7.39E-12	3.9	17	13	99.8%
0.48	45	50	0	0	3.38E-09				0.0%

^o at a first inlet tube 11. ⁺ at each of the other three inlet tubes 11

More tests were carried out in a similar manner during which the level of, and abatement of, fluorine was measured. The fluorine was measured using Dräger tubes.

The results are shown in table III

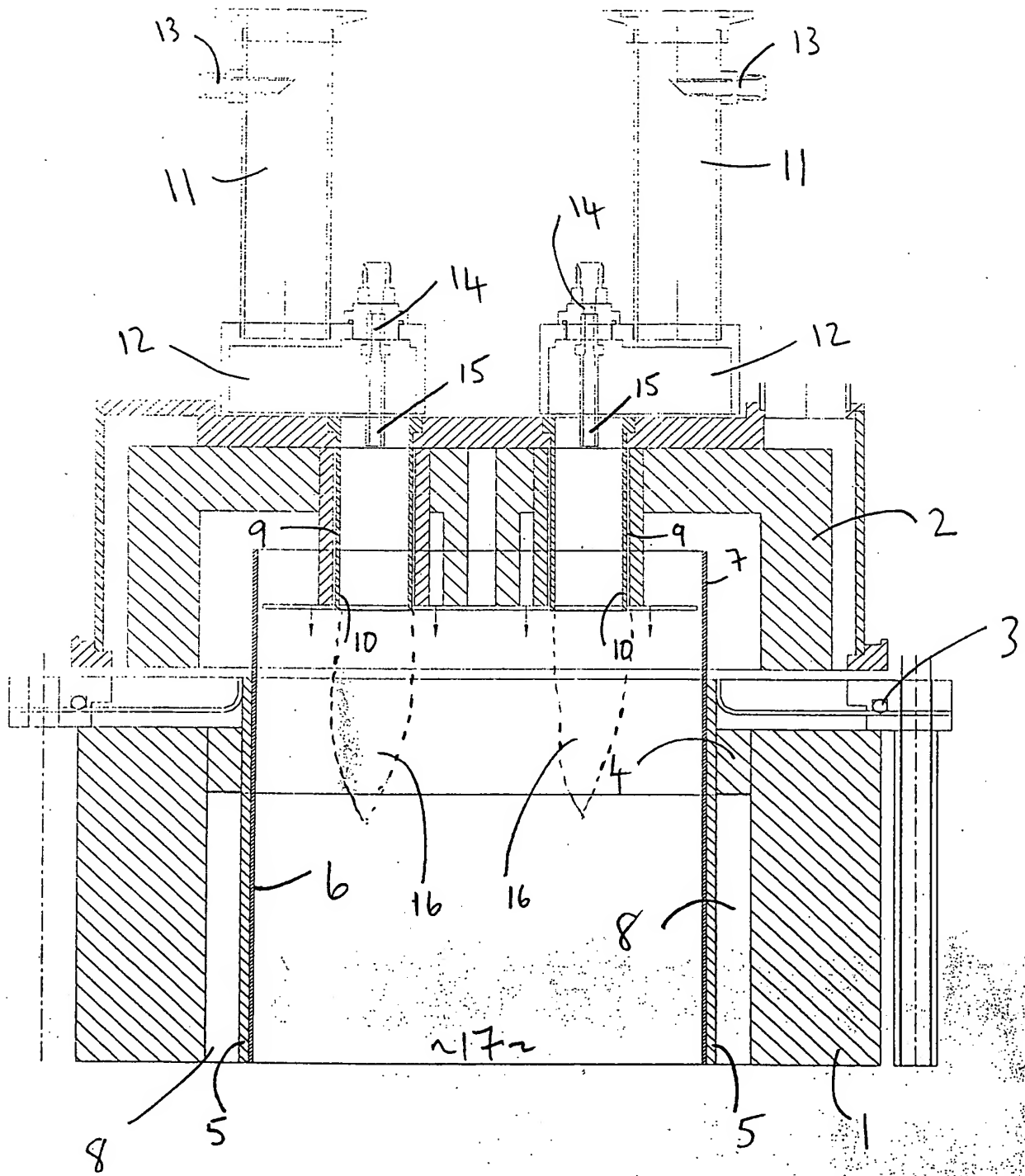
TABLE III

NF ₃ flow sl/min	Pump Purge sl/min	Nitrogen from Fluorine sl/min	Fluorine flow sl/min	Oxygen flow sl/min	Hydrogen flow sl/min	NF ₂ + signal	Fluorine ppm	% NF ₃ destroyed
1	35	0	0	0	0	6.28E-09		0.00%
1	35	10	1	4	9	2.05E-10	~ 10 ppm	96.73%
1	25	10	1	4	9	7.48E-11		98.81%
1	25	10	1	4	13.3	6.29E-12	~ 10 ppm	99.90%

These tests show good destruction of nitrogen trifluoride and fluorine with reasonable quantities of hydrogen being required.

CLAIMS

1. A process for the combustive destruction of noxious substances in a gas stream which comprises injecting the gas stream in to a heated chamber with sufficient oxygen to allow substantially complete combustion therein, wherein hydrogen is also present in the chamber as a fuel gas.



THIS PAGE BLANK (USPTO)